

"Empowerment through quality technical education" Dr D Y Patil Educational Enterprises Charitable Trust's

Ajeenkya D Y Patil Group of Institution's Technical Campus

Dr D Y PATIL SCHOOL OF ENGINEERING

(Approved by AICTE, New Delhi Recognized by Govt. of Maharashtra, Affiliated to Savitribai Phule Pune Universi AISHE Code: C-46648 DTE Code: EN6732 SPPU PUN Code: CEGP015720

(Accredited by NAAC)

7.1.10 The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard.

Documents Enclosed.....

- 1. Quality Policy of the institute
- 2. Quality Objectives of the Institute
- 3. Organization chart
- 4. Name of Department and Departmental Heads
- 5. Roles and responsibilities
- 6. Staff Policy
- 7. Anti-Ragging Policy
- 8. R&D Manual

Principal

Dr. F. B. Sayyad



1. Quality Policy of the institute

"Empowerment through Quality Technical Education"



Dr. D. Y. Patil School of Engineering

Dr. D. Y. Patil Knowledge City, Charholi (Bk), Lohegaon, Pune – 412 105 Website: www.dypsoe.in Form No.: IQAC 48 (Page No. 05)

गुणवत्ता धोरण

आम्ही आमच्या सर्व विद्यार्थी आणि भागधारकांच्या अपेक्षापुर्ती करिता शैक्षणिक उत्कृष्टतेच्या माध्यमातून उत्तम दर्जाचे तांत्रिक शिक्षण आणि सर्वोत्तम सुविधा देण्यासाठी प्रयत्नशील आहोत.

Quality Policy

We strive to impart the quality technical education through academic excellence and provide best of facilities to satisfy the need & expectations of the students & stakeholders.

Lohegeon of Pune is in the control of the control o

Dr. F. B. Sayyad

Principal Principal

Dr. D. Y. Patil School of Engineering Lohegaon, Pune.

2. Quality Objectives of the Institute



"Empowerment through quality technical education"

Dr. D Y Patil School of Engineering

Dr. D. Y. Patil Knowledge City, Charholi (Bk), Lohegaon, Pune – 412 105 Website: www.dypsoe.in Doc No.: IQAC 41 Issue Date: 22.11.2021 Revision No.: 00

Revision Date: NA

Quality Objectives

- To promote quality culture as the prime concern of Technical institute through institutionalizing and internalizing all the quality-enhancing and sustaining initiatives taken with internal and external support.
- To develop a mechanism to promote conscious, consistent and catalytic action plans to improve the academic and administrative performance of the institution.
- To promote institutional quality enhancement and sustenance through the internalization of quality culture and institutionalization of the best practices.
- To ensure timely, efficiently progressive performance of academic, administrative and financial units.
- 5) To adopt relevant and quality academic and research programs.
- To ensure equitable access to and affordability of academic programs for various sections of the society.
- To optimize and integrate modern methods of teaching and learning.
- To ensure credible assessment and evaluation processes.
- To ensure the proper allocation, adequacy and maintenance of support structure and services.
- To ensure Sharing of research findings and networking with other institutions in India and abroad.



Prepared By	Issued By	Approved By	
Name: Kunal Marathe	Name:Kunal Marathe	Name: Dr. Farook Sayyad	
Designation: ISO Coordinator	Designation: ISO Coordinator	Designation: Principal	
Sign: Slaw	Sign: Slaw	Sign: 8	

3. Organization chart



"Empowerment through Quality Technical Education"

Dr. D. Y. Patil School of Engineering
Dr. D. Y. Patil Knowledge City, Charholi (Bk), Lohegaon, Pune – 412 105 W www.dypsoe.in

Form No:IQAC/48/Page No. 08 & 10

Organization Chart

Sr. No.	Name of the Chart	Remarks / Reference
01	Employee Classification	Staff Policy (Form No.
		IQAC/48/Page No. 08)
02	Organization Chart	Staff Policy (Form No:
		IQAC/48/Page No. 10)





Dr. Farook Sayyad

Principal

Principal
Dr. D. Y. Patil School of Engineering Lohegaon, Pune.

4.

Name of the department and Departmental Heads





Dr. D. Y. Patil School of Engineering

Dr. D. Y. Patil Knowledge City, Charholi (Bk), Lohegaon, Pune – 412 Website: <u>www.dypsoe.in</u> Form No:IQAC/47

Name of Departments and Departmental Heads

Sr. No.	Name of the Department	Head of the Department		
01	Engineering Science	Dr. Shamkant Khairnar		
02	Artificial Intelligence and Data Science Engineering	Dr. Pankaj Agarkar		
03	Civil Engineering	Lt. Col. Sanjay Karodpati		
04	Computer Engineering	Dr. Pankaj Agarkar		
05	Electronics & Telecommunication Engineering	Dr. Sanjay Koli		
06	Mechanical Engineering	Prof. Rohit Garad		
07	Workshop	Prof. Umaji Kolekar		
08	Polytechnic	Prof. Rajabhau Housalmal		
09	Examination	Prof. Prashant Karajagi		
10	Librarian	Mr. Santosh Ankush		
11	Administration	Mr. Gorakshnath Deshmukh		
12	Store	Mr. Sachin Rasal		
13	Training and Placement	Ms. Shweta Sharma		
14	Sports	Prof. Prasad Tikone		





Dr. Farook Sayyad

Principal

Dr. D. Y. Patil School of Engineering
Lohegaon, Pune.

5. Roles and Responsibilities





Dr. D. Y. Patil School of Engineering

Dr. D. Y. Patil Knowledge City, Charholi (Bk), Lohegaon, Pune - 412 105 Website: www.dypsoe.in

Form No:IQAC/48/ Page No. 13 to Page No. 21

Roles and Responsibilities

Sr. No.	Designation	Remarks/ Reference
01	Duties & Responsibilities of the Principal	Form No:IQAC/48/ Page No. 13
02	Duties & Responsibilities of the IQAC Coordinator	Form No:IQAC/48/ Page No. 15
03	Duties & Responsibilities of the Head of	Form No:IQAC/48/ Page No. 15
	Department	
04	Duties & Responsibilities of the Dean – Research	Form No:IQAC/48/ Page No. 15
05	Duties and responsibilities of Teaching Faculties	Form No:IQAC/48/ Page No. 16
06	Technical Support -IT	Form No:IQAC/48/ Page No. 18
07	Lab/ Technical Assistant	Form No:IQAC/48/ Page No. 18
08	Registrar / Office Superintendent /Office Staff	Form No:IQAC/48/ Page No. 18
09	Librarian	Form No:IQAC/48/ Page No. 19
10	Training and Placement Officer	Form No:IQAC/48/ Page No. 1
11	Physical Director	Form No:IQAC/48/ Page No. 2
12	Peons /Attendants	Form No:IQAC/48/ Page No. 2





Dr. Farook Sayyad

Principal

Principal Dr. D. Y. Patil School of Engineering Lohegaon, Pune.

6. Staff Policy

(Version II, w.e.f. 1st June, 2020)



"Empowerment through quality technical education"

Dr DY Patil School of Engineering

Dr D Y Patil Knowledge City, Charholi Bk., Via. Lohegaon, Pune - 412 105.

INDEX

Chapter			Page Number	
Number			То	
Chapter 1	Foreword by the Director	1	1	
Chapter 2	About the Institute	2	7	
2.01	Principal's Foreword	2	2	
2.02	Name of the College	3	3	
2.03	Address of the College	3	3	
2.04	Contact Details of the College	3	3	
2.05	AICTE Permanent Approval Number	3	3	
2.06	College ID Number by Directorate of Technical Education, Maharashtra State		3	
2.07	Pune University's College ID Number	3	3	
2.08	Vision of the College	3	3	
2.09	Mission of the College	3	3	
2.10	Short Term Goals	4	4	
2.11	Long Term Goals		4	
2.12	Quality Policy	5	5	
2.13	Governing Body	5	5	
2.14	College Development Committee (CDC)	6	7	

DYPSOE



Chapter 3		Human Resource Planning		21
3.01		Employee Classification		10
3.1.1		Teaching Employees	8	9
	A	Full Time Teaching Employees	8	9
	i	Professor	9	9
	ii	Associate Professor	9	9
	iii	Assistant Professor	9	9
	В	Visiting / Expert	9	9
3.1.2		Non-Teaching Employee	9	10
	i	Technical Staff	9	9
	ii	Administrative Staff	9	10
	iii	Supporting Staff	10	10
3.02		Organizational Chart	10	10
3.03		Staff Pattern / Post wise Number of Positions	11	13
	1	Teaching (Subject wise & cadre wise full time faculty)	11	12
	2	Non-teaching Technical (Department wise & cadre wise)	12	12
	3	Non-teaching non-technical (Department wise & cadre wise)	12	12
	. 4	Roster for the posts of full time teaching faculty & Principal	13	13
3.04		Work-hours and Responsibilities of Employees	13	21
	A	Duties & Responsibilities of a Principal	13	15
	В	Duties & Responsibilities of IQAC Coordinator	15	14
	С	Duties & Responsibilities of a Head of Department	15	15



	D	Duties & Responsibilities of Dean-Research	16	1
	Е	Duties & Responsibilities of Teaching Faculties	16	1
	F	Duties & Responsibilities of Non-teaching Technical staff	18	1
	G	Duties & Responsibilities of Non-teaching Non-technical staff	18	2
3.05		Working in a Week	21	2
	a	Working days & weekly off in a week	21	2
	b	College standard working time	21	2
Chapter	4	Leave, Vacation and other Holidays	22	2
4.01		Introduction	22	2
4.02		General	- 22	2
4.03		Kinds of Leave	23	2
	A	Casual Leave	23	2
	В	Medical Leave	24	2
	С	Earned Leave	25	2
	D	Leave without Pay(LWP)	26	2
	Е	Duty Leave/ Outdoor Duty Leave (OD)	26	2
	F	Maternity Leave(MTL)	27	2
	G	Paternity Leave (PL)	27	2
	Н	Study Leave	27	2
	I	Sabbatical Leave	28	2
	J	Vacation (Only for Teaching Staff)	28	2
·	K	Compensatory off (CO)	29	29

DYPSOE



Chapter 5	Chapter 5 Discipline and Grievances 5.01 Security & Vigilance on Campus		37	
5.01			30	
5.02	Counseling Facility			
5.03	Code of conduct	30	32	
5.04	Bio-metric Attendance policy	32	32	
5.05	Uniform for teaching faculty, non-teaching staff and student community	33	33	
5.06	I-Card Policy for teaching faculty, non-teaching staff and student community	33	33	
5.07	Supervision of University Examinations	33	33	
5.08	Examiner ship for University Exams		33	
5.09	Private Coaching / Outside Employment policy		34	
5.10	Internet Facility Policy		34	
5.11	Nonsmoking Policy		34	
5.12	Keys Deposition Policy		34	
5.13	Vehicle Parking Policy		34	
5.14	Disciplinary Procedure		35	
5.15	Grievance / Complaint Redressal		35	
5.16	Anti-Ragging Committee		35	
5.17	Woman Harassment at work place	36	37	



Chapter 1: Foreword from Director

Here at Dr D Y Patil School of Engineering (DYPSOE), Lohegaon Pune, we look forward to every opportunity to spread knowledge beyond our campus through the creativity and achievements of our staff and students. College has undertaken teaching in a wide breadth of subjects. It is the part of our philosophy that our research endeavors underpin the College's learning and teaching. DYPSOE transforms its students into technically competent, well communicative and application oriented lifelong learning engineers. The students are result driven and are motivated by the institute for the relevant manpower development and for their betterment.

Life is not a set of instructions but is a series of experiences and learning process, this is where DYPSOE steps in – to make a difference through our management and faculties by inculcating the right attitude and passion towards engineering, which goes beyond books and instructions.

At DYPSOE, is not just a formal education but a place to grow as an individual, it promotes all round development of the students by means of cultural events, communication and personality development classes, extra curricula and sports activities, entrepreneurship development and various other activities. We have a steadily growing alumni base, which even if young, have made a mark in the society. Learning technology in such a dynamic and motivating environment is a healthy experience for the budding engineers.

DYPSOE is committed to create a community of research scholars, students and faculty that reflects the diversity of the world we live in. The development of intellectual and practical pursuits is the core of education here.

Prof Hrridaysh Deshpande Director-Technical Campus



Chapter 2: About the Institute

2.01 Principal's Foreword:

Dr D Y Patil School of Engineering (DYPSOE) is one of the most prestigious academic groups in the Pune City and state of Maharashtra which is Approved by AICTE and Affiliated to SP Pune University. DYPSOE was established in 2010 by the Chairman of Dr Ajeenkya D.Y.Patil Group. The institute offers five UG Programs (B.E), three PG Programs (M.E) and three Diploma programs. DYPSOE has earned a commendable reputation amongst the students, faculties, and stake holders within a short span of time. DYPSOE follows the tradition of educating students in Engineering that will best serve the nation. Dr Ajeenkya DY Patil's futuristic vision is to provide quality education at an affordable cost, so as to enable the youth, primarily the rural youth to elevate their potential and to make them contribute to National Development. Under the mentorship of Dr Ajeenkya DY Patil and the Director of DYPSOE, this institute is progressing continuously. The success is the result of dedication, creativity and entrepreneurship of our faculty, students, and management.

Here at DYPSOE, we unearth the potential of students by involving them in academic, career-building & creative activities equipping them to become globally competent to face the challenges. Education is not only an act of acquiring knowledge but learning a skill to lead life and forming one's personality. This ennobling process of growth is facilitated here at DYPSOE. Education is the most-powerful weapon that can change the face of a nation. With our experienced and learned faculties encouraging and coaching the students, no dream is far-off.

Faculties of DYPSOE Institutes cultivate social, moral and spiritual values in the students along with the appropriate knowledge, skills, and attitudes that lasts a lifetime.

Dr. F B Sayyad Principal



)

2.02 Name of the College: Dr D Y Patil School of Engineering

2.03 Address of the College:

Dr D Y Patil Knowledge City, Charholi Bk., Via. Lohegaon, Pune - 412 105.

2.04 Contact Details of the College:

Tel: 020-67077921/2

Website: www.dypsoe.in

2.05 AICTE Permanent Approval Number:

DYPSOE is approved from AICTE under the Permanent registration number: 1-3847411

2.06 College ID Number by Directorate of Technical Education, Maharashtra:

DYPSOE is registered under DTE (Directorate of Technical Education), Maharashtra and the College ID number is: **EN 6732**

2.07 Pune University's College ID number:

DYPSOE is affiliated to Savitribai Phule University of Pune and the College ID number is: CEGP015720

2.08 Vision of the College:

"Empowerment through quality technical education"

2.09 Mission of the College:

M1: To achieve excellence in teaching, learning and research

M2: To impart skill based education to meet the needs of industry and Society

M3: To excel as a center of excellence in technical education

M4: To inculcate social & ethical values among the students



2.10 Short Term Goals:

- To sign MOU with industries to get Industry Sponsored Projects, Internship and Training to Students
- To motivate faculty for Industry Interaction with objectives to get professional training and Placement of Student
- To start Students Club in emerging areas of technology like Robotics and Automation, Drone Technology, 3D Printing, IoT, Cyber security, Cloud computing, Artificial Intelligence and Machine Learning, Web Technology etc.
- To organize various short term courses, workshops, seminars from experts under center of excellence
- To establish collaboration and exchange program for faculties and students with reputed institutes.
- · To submit proposals to funding agencies for research and upgrading of laboratories.
- Faculty development by encourage them to upgrade their qualifications and professional skills and consultancy work
- To Organize International and National Level Conferences, project competition & intercollegiate design competitions

2.11 Long Term Goals

- Conduct UGC interview and assure around 80% of faculties should be Approved
- To work on the compliance report observed in NAAC cycle 1 and get it done before preparing SSR for Cycle 2
- To start SPPU recognised PhD research centre in Computer and ETC department
- · Apply for Permanent Affiliation
- Apply UGC for recognition colleges under Section 2(f) and 12(B) of the UGC Act, 1956 for Autonomy
- Prepare all AQAR and keep ready SSR as per revised norms of NAAC manual and apply for NAAC Cycle 2 for getting A+ grade
- Apply for College Autonomy
- · Start planning for NBA Accreditation

Lehegaon Pune

Start planning for NBA Accreditation

2.12 Quality Policy:

We strive to impart the quality technical education through academic excellence and provide best of facilities to satisfy the need & expectations of the students & stakeholders

We will achieve our vision and mission while keeping to our core values, which are as follows:

- Excellent Environment with Facilities
- Dedicated Faculty
- · Talent Appreciation
- · Industry Collaboration
- Social Responsibility
- Encouragement

2.13 Governing Body:

The main purpose of the Governors is to decide the overall strategic direction, mission and educational character of the organization

More specifically, the Governors are responsible for:

- Setting the institute's vision and strategic aims, agreeing plans and policies, and making creative use of resources.
- Approving an annual College budget
- Appointing and overseeing the work of the College's Senior Management Team comprising the Director, Principal and one senior member.
- Ensuring that the institute is accountable to the student and parents it serves, to its local community, to those who fund and maintain it, as well as to the staff it employs.
- Determining the educational character and mission of the College and for the oversight of its activities

2.14 College Development Committee (CDC):

The college shall constitute College Development Committee as per MAHARASHTRA PUBLIC UNIVERSITIES ACT, 2016. The College Development Committee shall meet at least

School Control Control

four times in a year and its proceedings minutes shall be maintained properly. Members elected or nominated shall have a term of five years.

The powers and duties of the College Development Committee are:

- (a) Prepare an overall comprehensive development plan of the college regarding academic, administrative and infrastructural growth, and enable college to foster excellence in curricular, co-curricular and extra-curricular activities;
- (b) Decide about the overall teaching programs or annual calendar of the college;
- (c) Recommend to the management about introducing new academic courses and the creation of additional teaching and administrative posts;
- (d) Take review of the self-financing courses in the college, if any, and make recommendations for their improvement.
- (e) Make specific recommendations to the management to encourage and strengthen research culture, consultancy and extension activities in the college;
- (f) Make specific recommendations to the management to foster academic collaborations to strengthen teaching and research;
- (g) Make specific recommendations to the management to encourage the use of information and communication technology in teaching and learning process;
- (h) Make specific recommendations regarding the improvement in teaching and suitable training programs for the employees of the college;
- (i) prepare the annual financial estimates (budget) and financial statements of the college or institution and recommend the same to the management for approval;
- (j) Formulate proposals of new expenditure not provided for in the annual financial estimates (budget);
- (k) Make recommendations regarding the students' and employees' welfare activities in the college or institution;
- (l) Discuss the reports of the Internal Quality Assurance Committee and make suitable recommendations

DYPSOE

- (m) Frame suitable admissions procedure for different programs by following the statutory norms;
- (n) Plan major annual events in the college, such as annual day, sports events, cultural events, etc.;
- (o) Recommend the administration about appropriate steps to be taken regarding the discipline, safety and security issues of the college or institution;
- (p) Consider and make appropriate recommendations on inspection reports, local inquiry reports, audit report, report of National Assessment and Accreditation Council, etc.;
- (q) Recommend the distribution of different prizes, medals and awards to the students.
- (r) Prepare the annual report on the work done by committee for the year ending on the 30th June and submit the same to the management of such college and the university;
- (s) Perform such other duties and exercise such other powers as may be entrusted by the management and the university

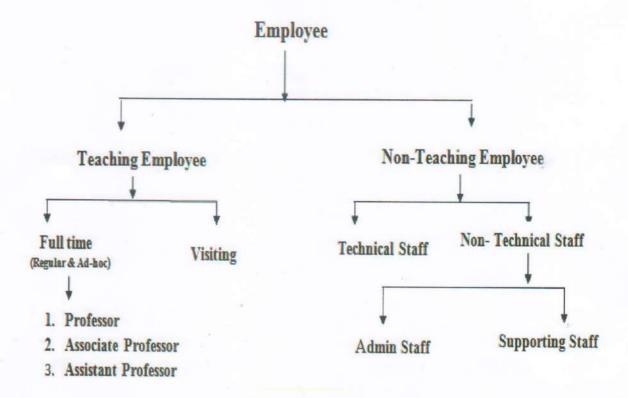


Chapter 3: Human Resource Planning

3.01 Employee Classification:

DYPSOE employees are classified on a functional basis to optimize institutional competency through clearly defined authority and responsibility at each level.

Employees are broadly classified as:



3.1.1 Teaching Employee: It comprises of persons who are involved in teaching / instructors/Research at the institute may be full time, Part time, Visiting or Emeritus Faculty.

Full time Teaching Employee Types:

- I. Regular: The faculty member approved by UGC committee and received permanent approval letter from SPPU and has completed two years in probation.
- II. Ad-hoc: The faculty member not approved or in the process of approval or approved with one-year ad-hoc approval by University received ad-hoc approval letter from SPPU.

A. Full Time Teaching Employee:

- a. Faculties enrolled on institutional Pay roll.
- b. Teaching faculty is categorized under:

Lohegaon Pune

)

i. Professor:

- a. Ph. D. degree in relevant field and First class or equivalent at either Bachelor's or Master's level in the relevant branch. AND
- b. Minimum of 10 years of experience in teaching / research / industry out of which at least 3 years shall be at a post equivalent to that of an Associate Professor. AND
- c. At least 6 research publications at the level of Associate Professor in SCI journals / UGC / AICTE approved list of journals and at least 2 successful Ph.D. guided as Supervisor / Cosupervisor till the date of eligibility of promotion.

OR

At least 10 research publications at the level of Associate Professor in SCI journals / UGC / AICTE approved list of journals till the date of eligibility of promotion.

ii. Associate Professor:

- a. For Direct Recruitment a. Ph.D. degree in the relevant field and First class or equivalent at either Bachelor's or Master's level in the relevant branch AND
- b. At least total 6 research publications in SCI journals / UGC / AICTE approved list of journals.

 AND
- c. Minimum of 8 years of experience in teaching / research / industry out of which at least 2 years shall be Post Ph.D. experience.

iii. Assistant Professor:

Should have completed UG & PG in respective branches with first Class.

B. Visiting / Experts: A visiting faculty is appointed on the clock hour basis, and the remuneration is given on hourly basis.

3.1.2 Non-Teaching Employee:

(i) Technical Staff: Technical Assistants, Lab Assistants, Workshop Instructors, Network Administrators, Computer Programmers, Librarian and Library Assistants come under this category.



- (ii) Administrative Staff (Non-Technical): Registrar, Assistant Registrar, Office Superintendents, Human Resource Executive, Accounts and Finance Officer, Head of Students' Section, Stores and Purchase Officer, Medical Officers, Executive Assistants, Hostel Rectors, Accountants, Accountant Assistants, Clerks, Data Entry Operators, and Front Office Executives are under this category
- (iii) Supporting Staff (Non-Technical): This category consists of Peons, Electricians, Drivers, Security officers, Watchmen, Sweepers, Gardeners, Plumbers, Internet Centre Receptionists, and Book-lifters and Assistants to Hostel Rectors.

3.02 Organization Chart:

An organizational chart shows the structure of an organization and the relative ranks of its parts and positions. It shows the lines of responsibility between departments and hierarchy of institutional delegation of authority and responsibility.



3.03 Staff Pattern /Post wise Number of Positions

Cadre Ratio:

As per AICTE norm the cadre ratio is 1:2:6.

Teacher- Student Ratio:

As per AICTE norm the cadre ratio is 1:20 (Subject to change as per AICTE norms)

1. Teaching (Subject wise & Cadre wise full time faculty):

The teaching hours are assigned cader wise to each faculty as per his/her post and position as follow:

- a. Principal 4 to 6
- b. Professors -12 to14
- c. Associate Professors -14
- d. Assistant Professors- 16 to 18

Relaxation of 2 hours per week in teaching contact hours shall be granted to faculty members handling additional responsibilities like HOD / Dean.

Besides teaching, all the teachers or the faculty are requested to spend their time and effort for various activities and contribute to the fair image of the college. They have to necessarily cooperate in students counselling, give support to the administration and involve themselves in R&D/Consultancy and extension services AICTE norm stipulates that every teacher of the college has to contribute 38 to 40 clock hours of work per week. A tentative distribution of the time spent in a week for Professors, Associate Professors and Assistant Professors is given below, which may be considered as a guideline, but not a rigid frame work. The Heads of Departments, Deans and other officers in charge of Administrative and Academic Units of the college are required to spend more time in planning, streamlining and structuring the various activities at every level in their concerned department.



Tentative distribution of time to be spared by a faculty member for different activities in a week

Category	Teaching	Preparation/ Assessment/ NAAC activity	Project Guidance/ R & D / Consultancy & Extension Service	Administration	Student Counseling	Total Clock Hours
Professors	14	6	20	6	4	40
Associate Professors	14	8	10	4	4	40
Assistant Professors	16	16	6	0	2	40

Note: Every faculty member has to produce the evidence of time spend on Project Guidance R&D, Consultancy; otherwise he/she has to increase the time on teaching load.

2. Non-Teaching Technical (Department wise & cadre wise):

The recruitment of non-teaching for Laboratory or Technical Assistance, ratio should be 1:2

3. Non-Teaching non-technical (Department wise & Cadre wise):

This category consists of all staff members except the teaching staff, which includes Registrar of the college, all Administration staff, Accounts, Human Resource Development and class IV employees. The recruitment of this category staff is as follow:

- 1. Registrar / Dypt. Registrar / Assistant Registrar: 01.
- 2. Office superintendent: 01.
- Office Assistant: As per office requirement with the recommendation of Principal & Management Committee.
- 4. Librarian: 01
- Library Assistant: As per Library requirement with the recommendation of Principal & Management. Committee.

6. Clerk / Jr. Clerk:

As per various sections requirement with the recommendation of Principal & Management Committee number of post can be created.



)

- a. For office it is as per the requirement and with the recommendation of Management committee.
- Each department, library and store may have one assistant for departmental work.

Other than above essential posts other category of the posts can be created with the recommendations of Principal & Management Committee.

4. Roster for the posts of full time teaching faculty & Principal:

A roster is the register having list or plan showing turns of duty or leave for individuals or groups in an institution.

3.04 Work- hours and Responsibilities of employees:

Each and every employee in the institute is allotted with some working hours and responsibilities and the employee should carry all the tasks assigned to him with the full of his ability.

A. Duties & Responsibilities of the Principal:

- 1. Observation of the Act, Statutes, Ordinances, Regulations, Rules and other directions or orders issued there under from time to time by the University, DTE, AICTE and the orders issued by the Central and the State Governments.
- Nomination of Member secretaries of Institute level committees and chair the meetings of Institute level committees.
- 3. Academic and Administrative management of the institution, Conduct meetings for Governing Body and College Development Committees and prepare minutes of the meeting.
- 4. The Principal is the in-house Chief Conductor of University Examination.
- 5. To appoint internal Flying Squad, Supervisors and other human resources for smooth conducting of examination in the College in coordination with Examination-in-charge.
- 6. To interact with University for examination related works.
- 7. To head Unfair Means Committee during examination.
- 8. To appoint internal and external examiners/moderators for paper assessment in coordination with HODs and Examination-in-charge.



- 9. Ensure that the employee/ students is aware and follow the rules, policies and procedures published by the College.
- 10. Monitoring and evaluation of academic and research activities.
- 11. It is the responsibility of the Principal to interact with the industries and get the MOUs from the industries in relevant branches. There should be the involvement of teachers /students in the industry of relevance. Training & Placement officer shall take the initiative.
- 12. Participation in policy planning at regional, National level for development of technical education.
- 13. Planning and assisting in planning and implementation of academic programs, such as orientation courses, seminar, in-service and other training programs, organized by the University and/or Department / College for the academic competence of the faculty members.
- 14. Administration of the faculties/staff/students. Office administration includes:
 - a) Student admissions and their records. University registration.
 - b) Fee collection and maintenance of the records, Samaj Kalyan fee dues.
 - c) Student section, accounts, correspondence with the students and their parents
 - d) Maintaining College library.
 - e) AICTE/DTE/University liaison and related correspondence.
 - f) Sanctioning of various types of leaves or vacations and monitoring the records to the employees.
 - g) Monitoring the PF/IT/Insurance /Medical facilities etc.
 - h) Monitoring the security and safety of the college.
 - i) Payment of electricity/water/taxes /any dues etc.
 - j) Parents meet, students meet, alumni meet in every semester/ year.
 - k) Release from service after due clearance from the governing body/management.
 - 1) To ensure that the employee clears all dues before the withdrawal of the last salary.
 - m) Recruitment of non teaching staff & teaching staff.
 - n) Make the academic calendar of the college.
 - o) Introduction of new courses.
 - p) Authorizing cash advances for urgent purchases.
 - q) Authorizing leave for staff / faculty.



- r) Allocation and utilization of budget of all departments.
- s) Selection of books, Journals and library policies.
- t) Ensure that the institute website is informative and updated
- u) To take necessary decisions to improve effectiveness of LMS, fulfillment of customer requirement
- v) Any other work given by the management.

B. Duties & Responsibilities of the IQAC Coordinator:

- To coordinate the dissemination of information on various quality parameters of higher education
- To coordinate the documentation of the various programs / activities leading to quality improvement
- 3. To coordinate the quality-related activities of the institution
- 4. To coordinate in preparation of the Annual Quality Assurance Report (AQAR) to be submitted to NAAC based on the quality parameters.
- To coordinate the timely and efficient execution of the decisions of IQAC committee.

C. Duties & Responsibilities of the Head of Department:

- Manage staff responsibilities in line with DYPSOE policies and University's policies.
- 2. Monitor all the activities of the department.
- 3. To ensure that the faculty members maintain professional standards of behavior and ethics at all the times.
- 4. Arrange faculty for the course.
- To prepare time-table for classes, labs, tests and exams. Preparation of Academic Calendar in line with the University Calendar.
- 6. Resolve student's complaints and queries
- 7. Carry out faculty evaluation.
- 8. To prepare various documents for the University, AICTE, MHRD, UGC under the guidance of the Principal.
- 9. Identify training needs for the faculty and evaluate effectiveness of training.
- 10. Decide corrective action.



- 11. Ensure quality system implementation
- 12. Follow the best practice for teaching and learning.
- 13. Represent dept outside the institute
- 14. Preparing the departmental budget and involvement in the purchasing of equipments.
- 15. Develop and maintain relation with industries.
- 16. Coordinate term work assessment and conduct of practical / oral exams.
- 17. Coordinate Interaction with library, workshop & other service providers in the institute.
- 18. Record all the activities of the department.
- 19. Arrange parent's meet once in a semester.
- 20. Any other work given by the management.

D. Duties & Responsibilities of the Dean - Research:

- 1. To inculcate the spirit and culture of research amongst all the stakeholders like students, faculties, management, parents and Industries.
- 2. To enhance interaction and cooperation between researchers for interdisciplinary and multidisciplinary work.
- 3. To forge academic and research collaborations with national and international universities, governments and industries.
- 4. To establish links with various R&D organizations and funding agencies or venture capitalist for sponsored and contract research.
- 5. To work towards development of DYPSOE as a global R&D center.
- 6. To review and enhance the research policy of DYPSOE, Pune.

E. Duties and responsibilities of Teaching Faculties:

Teaching faculties are considered as all cadre categories as Lecturers, Professors, Associate Professors & Assistant Professors and the duties and responsibilities carried by teaching faculties are as follows:

- 1. Understand the Vision Mission and Quality Policy.
- 2. Be punctual and punch biometric and sign the attendance muster at the time of arrival and departure from the college.



- Conduct the lectures and practical as per the time table sincerely. Complete 100% syllabus.
- 4. Devotes efforts towards developing and improving scholarly competence.
- 5. Wear college uniform (on uniform days) and I-card during college hours.
- 6. Acquire the academic calendar, time table, syllabus copy and make the Lecture plan and list of assignments (theory/ practical) accordingly.
- 7. Maintain the Course file and personal file in appropriate format, with all required documentation.
- 8. Maintain the progressive assessment sheet.
- 9. Use teaching aids and adopt innovative teaching -learning methodologies.
- In order to help and improve the results, take extra lectures/ revision lectures for the subject.
- 11. Counsel the students.
- 12. Publish/ present papers or books in conferences/ journals.
- 13. Organize/ Coordinate/ attend various seminars/ workshops/ STTP/ training programs.
- 14. Perform other academic/ administrative duties assigned by HOD/ Principal.
- 15. Take initiatives to organize/ coordinate various seminars/ workshops/ STTP/ training programs.
- 16. Initiate and execute various R & D activities in the department.
- 17. Counsel and guide the junior faculty members.
- 18. Apply and update the leave record on time through ERP
- 19. Take prior permission for one-day Casual leave. If leave required more than two days, then need to take permission from Principal. Adjust workload during leave.
- 20. Familiarizes students with the discipline's best scholarly standards.
- 21. Adheres to the proper role of an intellectual guide and counselor.
- 22. Treats students with understanding and respect and does not denigrate, humiliate or stigmatize students on the basis of race, religion, color, disability, gender, ethnicity, or age.
- 23. Avoids exploiting students.



F. Duties and responsibilities of Non-Teaching Technical Staff:

a. Technical Support -IT

- Update and maintain college website.
- Data backup.
- Maintenance and Administration of servers, firewalls, routers, manageable switches, UPS and batteries.
- Repairs of all computers, UPS and computer network.
- 5. Purchasing of equipments.
- 6. To provide support for various software's like ERP, LMS and Tally server.
- To ensure continuous internet during assigned hours.
- To give support to SPPU On-line exam, Seminar, ARC center, Workshop, technical training program or any other outside exams conducted in campus.

b. Lab/ Technical Assistant:

- Do the necessary preparations to conduct the Laboratory sessions.
- Assist faculty and students during laboratory sessions, SPPU exams.
- Identification and traceability of items in the laboratory.
- 4. Maintain Dead stock register and Instrument Issue register
- Maintain notice board in lab like, lab time table, equipment list, experiment list, SOPs.
- 6. Maintenance of existing equipment's and maintaining Instrument
 Maintenance register, Consumable records
- 7. Installation of new equipments
- 8. Maintain and update the approved supplier list for equipment's.
- Maintain Entry of students in Register & keep record, maintain discipline among students.

G. Duties and responsibilities of non-teaching non-technical staff: Registrar / Office Suprideant/Office Staff:

 Ensure the documented is adhered to at various stages of administrative processes.



)

- Handle the student section, Establishment Section, Stores and Purchase section, maintenance related activities and Control of Centralized activities of DYPSOE.
- 3. Execute the admission process and University Examination process of students.
- 4. Attendance, salary & payments to faculty & staff.
- 5. Maintain Fees & scholarship documentation & follow up within time
- General discipline, safety, cleanliness of premises, hostels, dress code etc.
- 7. Responsible for handling the complaints/ grievances and ensuring corrective actions.
- 8. Student grievance handling and taking remedial actions.
- 9. Any other work given by management or principal.

Librarian:

- 1. Responsible for overall functioning of the library.
- 2. Storage and preservation of all library products.
- 3. Responsible for procurement of recommended books, daily newspapers, journals, magazines, videos, CD's, audio cassettes etc.
- 4. Display of all technical articles, literature, expected & new arrivals.
- 5. Bring in notice any information published in newspapers.
- 6. Circulation & distribution control of magazines, literature etc. to faculties & management and maintain records of the same.
- Renewal of books / magazines and other products.
- 8. Implement all library rules as defined by the management or principal.
- Updating databases of all library products.
- 10. Ensure the documented is adhered to at various stages of library processes.
- 11. Maintain Silence and discipline in library.
- Any other work given by management or principal.

Training and Placement Officer:

- 1. To decide and arrange faculty for personal development programmes for student.
- 2. To update and maintain the contact details of companies.



Staff Policy Document

- 3. Maintain student database and arrange internships, training skills, aptitude test, interview skill etc to enhance placement of students.
- 4. Sending invitation to the companies for campus recruitment, notify the students about the events and take necessary action.
- 5. Maintain student placement record.

Physical Director:

- 1. Ensures smooth conduct of sports
- 2. Ensures proper use of sports material and facilities
- 3. Purchase of sport items by coordinating with Principal
- 4. Encourages students to participate in university/state/national level tournaments
- 5. Creation and upkeep of sports facilities
- 6. Proposing annual budget for sports
- 7. Ensures discipline among students in campus
- 8. Ensures NO Ragging in campus
- 9. Helps in the organization of various events in the college

Peons /Attendants:

)

- 1. He should be polite and respectful toward all HODs and staff and students.
- 2. He must always come to office in uniform, which should be clean, and his appearance should be neat and tidy.
- 3. To attend work assigned by the Principal/Head of the department /Officer Incharge/ staff from department.
- To ensure the cleanliness and general up-keep of the furniture, fixture and equipment's, machines in the workshop/store/section/office where posted.
- 5. To assist the Instructor during the course of practical training and placing the tool/equipment's on relevant place after practical
- To assist the staff on duty during conducting the examination.
- 7. To carry out the miscellaneous works in workshop/Institute during repair of machine/equipment and infrastructure.
- 8. To carry files/office record in and out of the office/Institute.
- Before leaving office/workshop he should switch off all lights, fans and heater, if any, and close the windows and locks the doors.



- 10. Don't shift or allow to shift any material/equipment/furniture outside of department without permission of Hod/ in charge Before leaving the department for any work within college he should inform HoD or in charge
- 11. To assist loading & unloading of stock and stores received at the institution/workshop/office and shall help the store keeper/workshop In-charge in keeping the stores in order.
- 12. He must not leave until all in the Department/office/Workshop staff have left. If he is attached to an officer, he should not leave before the officer has left. If he has to go early, he must take prior permission of his officer In-charge or of the Superintendent to whom he is attached.
- 13. To arrange tea/snacks/water for the officers/officials during any events in his department/ Institute.
- 14. He should have a general idea about the arrangement for receipt of local and outside postal dak.

3.05 Working in Week:

- a. Working days and weekly off in a week:
- Working days at DYPSOE, is Monday to Friday for Teaching and Non-Teaching Staff.
- 2. Working days at DYPSOE, is Monday to Saturday for office Staff.
- 3. Weekly off for office staff is 1st and 3rd Saturday of a month.
- Weekly off for office staff in Account section is 2nd and 4th Saturday of a month.

b. College Standard working time:

Working Hours

Library-

The working hours including recess time for Institute and is as:

College- Monday to Friday : 09.45 am to 05.15 pm

Lunch break,

Tea Break –

As per Time-table

Office- Monday to Saturday : 10.00 am to 05.30 pm

Monday to Friday: 10.00 am to 05.30 pm



Chapter 4: Leaves, Vacations & other Holidays

4.01 Introduction:

Leave is a provision to stay away from the work for genuine reasons with prior approval of the authorities. It may be granted for a casual purpose or a planned activity, on medical grounds or in extra-ordinary conditions. Sundays or holidays may be prefixed or suffixed to leave with prior sanction of the authority. Leave may be sanctioned by the head of the institute the following leave rules and norms give details about the different types of leave and how they can be availed of. These rules should be used for the better understanding and utilization of the leave rules and norms and are only complementary and explanatory in nature. These leave rules shall be applicable to all the permanent employees (teaching and non-teaching) of the Dr D Y Patil School of Engineering

4.02 General:

- Leave is not a right. It may be refused or revoked by the authority empowered to grant it. It would, however, generally, be granted unless the exigencies of service demand otherwise.
- ii. Leave Application: The Application shall be submitted in writing or preferably form prescribed by the Institute through ERP well in advance and shall be got sanctioned before availing of the leave. However, in exceptional circumstances, the sanctioning authority shall have discretionary power to sanction leave admissible post facto. The employee shall make alternative arrangements/internal adjustments among the employee of his/her or any other department to take care of his/her duties.
- iii. No leave can commence unless it has been sanctioned: Mere submission of leave applications does not authorize an employee to avail the leave applied for. Availing of leave without getting the same sanctioned makes the employee liable to disciplinary action besides penal deductions.
- iv. Employee on leave shall not engage himself in any other employment, trade or business either full-time or part-time without obtaining prior sanction of the competent authority. It is an offence and the employee shall refrain from the same.
- Absence without leave will constitute an interruption in service. Continued absence
 of more than 15 days, or repeated irregularity without intimation of any kind may



- render an employee liable to disciplinary action including termination of services besides penal deduction.
- vi. Employee appointed on contract basis in a scale of pay shall be entitled to leave in accordance with the terms of the contract entered into by him with the competent authority.
- vii. Absence from duty after expiry of leave entails disciplinary action.
- viii. Leave will not be granted to staff under suspension.

4.03 Kinds of Leave:

The following kinds of leave shall be admissible to the employee of this Institute:

- A. Casual Leave (CL)
- B. Medical Leave (ML)
- C. Earned Leave (EL)
- D. Leave without Pay (LWP)
- E. Duty Leave/Outdoor Duty Leave (OD)
- F. Maternity Leave ((MTL)
- G. Paternity Leave (PL)
- H. Study Leave
- I. Sabbatical Leave
- J. Vacation (Only for Teaching Staff)
- K. Compensatory off (CO)

The rules and norms governing the grant of leave are given below. Any exceptions from these rules due to emergencies or exceptional circumstances may be considered by the Management in its sole discretion. The decision of the Management in this regard shall be final and binding.

(A) Casual Leave (CL):

- Every employee held on the roster of the Institute is entitled to (one) 01-day casual leave for each thirty (30) days block of duty performed by him/her subject to a maximum of twelve (12) days of casual leave in one calendar year.
- ii. A minimum of half (1/2) day or a maximum of three (03) days of CL can be availed of a onetime



Staff Policy Document

- iii. CL Will not be carried forward to next calendar year and will lapse at the end of the ensuing calendar year.
- iv. CL shall not be admissible during first one month of service.
- v. CL can't be taken in conjunction with any other kind of leave except compensatory off (C-off)
- vi. Casual Leave must not be Pre-fixed or suffixed to vacation or any other type of Leave, except OD.
- vii. CLs are to be used at the discretion of the employee, subject to the approval of the HOD/ Section head or reporting authority and further submitted to Administration Office Department
- viii. The Holidays or Weekend days that are sandwiched in the CL duration are counted as CLs.
 - ix. In case of emergency, telephonic intimation is acceptable to reporting authority and not through the subordinate. The application for CL, if not submitted beforehand, is sanctioned on application within 4 days' time from the date of availing the CL.
 - x. CL of HODs is sanctioned by the Principal.

(B) Medical Leave (ML):

- Medical Leave is entitled for only regular employee that completed two year of service continuously.
- ii. Employee shall be entitled to leave on medical ground for 10 days on commutation basis or 20 days on half of pay (Half pay Leave-HPL) for each year of completed service.
- Medical leave may be granted in case of sickness of the employee and not his/her dependents.
- iv. Medical certificate from a registered MBBS doctor would be required in case of absence of three days or more.
- v. For every 6 months of service, 5 days of medical leave shall be credited to the leave account of the employee (on 01st June and 01st December in the academic year) Exceptional circumstances Medical leave not due may be sanctioned and such leave shall be deducted from his leave account subsequently.
- vi. Medical leave may be combined with other leaves.



Staff Policy Document

- vii. Medical leave can be carried forward and accumulated up to a maximum of 300 days.
- viii. An employee who has been granted medical leave is required to produce a medical certificate from a registered MBBS doctor of fitness before resuming duty. Leave sanctioning authority may secure second opinion. If considered necessary.
 - ix. For three days Medical Leave there is no need to produce Medical Certificate.
 - x. In case of sudden illness or other unexpected circumstances, an employee should notify or arrange to notify his / her superior immediately. If this is not possible, a family member is expected to alert the HOD / Section head as soon as possible to explain the situation and indicate the expected date and time of return.
 - xi. Planned absences and other excused absences must be requested and approved in advance. Medical appointments and scheduled surgery must also be approved by the HOD / Section head / Principal.

(C) Earned Leave (EL):

I. For Teaching/Vacation Employees

- i. EL is not admissible, if Teaching/Vacation employee avails full vacation. If any Teaching /Vacation employee is detained for completing a specific task assigned by his / her superior and is unable to avail the vacation period, that he / she is otherwise eligible for, adequate compensation, shall be given in the form of EL.
- ii. EL is admissible to an employee at the rate of one EL for the three days of the period spent on duty during his vacation period and subject to a maximum of 30 days in a year. Such employees should avail such EL during non-active period of the semester.
- iii. EL can be accumulated up to a maximum of 80 days, which cannot be en-cashed.
- iv. Calculation of EL in fraction cannot be allowed.
- v. Earned leave can be pre-fixed /suffixed with other holiday /leaves.

II. EL for Non-Teaching/ Non Vacation Employees

- i. As the name suggests, earned leaves are the leaves earned by the Non-Teaching employee for providing more than 240 working days of service to the employer in a given calendar year. In such case each employee is entitled to 30 days earned leave in subsequent calendar year.
- 15 days of EL is credited in advance on the first of January and first of July in the calendar year.



- iii. EL can be sanctioned for minimum period of three days and can be availed for maximum three times in one year.
- iv. Application for EL shall be submitted to the respective authority at least one week in advance and should avail such EL during non-active period of the semester
- v. Earned leave in excess of 30 days at any time cannot be availed except when approved by the Management and Principal.
- vi. Earned leave can be accumulated up to a maximum of 300 days, which cannot be en-cashed.

(D) Leave with-out Pay (LWP):

- i. No provision as such exists for the grant of leave without pay. However, for reasons beyond one's control, if any employee has to avail leave in excess of authorization. He / She may be granted, "Leave without pay" at the discretion of the Institute Head Management subject to exigencies of service.
- ii. Absence of an employee without sanctioned leave is a case of indiscipline and does not fall under this category.
- iii. Leave without pay shall also be got sanctioned in advance as any other leave.

(E) Duty Leave /Outdoor Duty Leave (OD):

- An activity of an employee which can bring recognition to the institute or which
 has to be performed for work of the affiliating University may be considered or
 grant of this leave.
- ii. OD cannot be availed of unless previously sanctioned approved by Principal.There is no provision for post facto approval of OD.
- iii. Duty leave may be granted for one or more of the following purposes
- a) To deliver academic lecture in highly reputed ranked /organizations.
- b) To attend meetings of the BOS. examination committees, conduct of Examination and auxiliary services of the affiliating University
- c) To present a research paper in a conference/ symposium of National/ International Level or to attend a Quality Improvement Programs QIPs when duly authorized by the head of the Institute.
- d) To attend selection committee, Local Inquiry Committee or any other committee meetings organized by a statutory body/University /recognized by the Government.



e) Any other special case on merit as approved by Management on the basis of recommendation of the head of the Institute.

(F) Maternity Leave (MTL):

- i. The Regular female employees having more than two-year service at the Institute and having no children or at most one surviving child are eligible for grunt of maternity leave. A suitable medical certificate from a competent registered medical practitioner must be attached with the leave application.
- ii. Maternity leave can be granted tor a period of 180 days at the maximum.
- iii. During the leave period, a medical certificate and progress report from a competent registered medical practitioner must be submitted. In the absence of such report, the leave salary shall not be paid.
- iv. In case of miscarriage, leave up to a maximum period of Six Weeks on each occasion (up to a maximum of two) may be granted. Provided that the application for the leave is supported by a certificate from competent Doctor.
- v. In all other cases the Principal fixes a period of Leave to be granted as Maternity Leave without pay.

(G) Paternity Leave (PL):

Paternity leave of 15 days may be granted to Regular male teachers during the confinement of his wife for childbirth, i.e. up to 15 days before, or up to six months from the date of delivery of the child and the limit is up to two surviving children. The paternity leave may be combined with leave of any other kind.

(H) Study Leave:

The faculty members become eligible for study leave as per the following provisions:

M. Tech. (Full time):

- The faculty members having a minimum of two years of unblemished service at the institute are eligible for grant of study leave.
- The faculty member may be granted study leave without pay for the duration of the M.Tech. Program subject to the condition that the faculty member gives an undertaking to serve he Institute for two years after completion of M. Tech. and the faculty member submits copies of the records of his attendance/examination results from the institute where he/'she is attending the M. Tech. Classes.



- The decision of the Management regarding sanction of study leave will be final **Ph.D.** (Full/Part time):
- Study leave may be granted after an employee has rendered at least 3 years of unblemished service to the Institute. He / She will be required to furnish a bond on non-judiciary stamp paper Rs. 100- that he /she will serve the institute at least for a period of 5 years after return from the study leave. However, the management may reduce the bond period to 3 years under special circumstances.
- The faculty member may be granted study leave without pay, maximum for a period of three years.

(I) Sabbatical Leave:

- The purpose of sabbatical leave is to promote professional improvement and intellectual growth of faculty to enhance the quality of education & research at TIET. Entitlement: Regular Teaching Staff. Maximum 2 years during one's career.
- Sabbatical up to period of one year may be granted once in every five years for attachment to a research organization or an Institute of good standing with the sole aim of pursuing research activity.
- ii. Although the employee will hold the lien with the parent organization, yet he /she will not be entitled to draw salary from this organization.
- iii. The sabbatical leave shall be granted to regular faculty only after completion of 7 years of service
- iv. Sabbatical leave cannot begin or end in the middle of a semester

(J) Vacation (Only for Teaching Staff):

- i. Vacation is entitled for only Regular teaching staff that completed two years of service.
- Teachers can avail total 70 days of vacation in a calendar year or as specified by the SP
 Pune University (in case of 6 days of working in a week)
- iii. In case of 5 days of working per week teachers can avail total 42 days of vacation in a calendar year.
- iv. Vacation period may be split in winter and summer as per the decision of the principal.
- v. The head of the Institute shall have the authority to detain staff member during the vacation for carrying out some Institutional/ University responsibilities for a period as



Staff Policy Document

- may be necessary. In such cases the staff members shall be entitles to get proportional earned Leave (EL) as per clause 5.03(C) I.
- vi. Non availing vacation period cannot be carryforward to the next academic year.
- vii. All other teaching staff principal will fix the no of days to be granted for vacation per year.
- viii. Prefixed / sandwiched/ suffixed Saturdays, Sundays and holidays are counted as a part of the vacation/ holidays.
- ix. Vacation / holidays may be taken in one / two slots. Only on the recommendations of the Principal shall exceptions be allowed. Principal shall issue a circular pertaining to the vacation at the end of every term.
- x. All remunerative duties like supervisory duties/ examination duties/ central assessment duties shall, as far as possible, fall within the vacation/ holidays. Such duties being mandatory, the employee and the HOD/ Section head must take utmost care while recommending the vacation/ holiday period.
- xi. Vacation / holidays can be recommended by the HOD / Section head only and sanctioned by the Principal if all the departmental work, University work, other duties are completed. All the records and documents should be handed over by the concerned employee to the HOD/ Section head before proceeding on vacation/ holidays.
- xii. Vacational staff availing vacation must be present on the first and last day of each term, unless otherwise sanctioned by the Principal.
- xiii. HOD/ Section head shall ensure that the regular functioning of the department/ section is not hampered and that no work is held up merely due the non-availability of an employee by way of his/ her availing vacation.
- xiv. If there is any official work during the vacation period, the principal/HOD will be called to any staff to report the college.

(K) Compensatory off [CO]:

- The staffs, who work on holidays with prior permission of HODs / Section head/ Principal, will be entitled to CO for an equal number of days that they have worked.
- 2. COs cannot be attached to casual leave. COs should not be availed when the academic sessions are in progress.
- 3. COs cannot be carried over to the next calendar year.



Chapter 5: Discipline and Grievances

5.01 Security & Vigilance on Campus:

Campus has equipped with security office. They manage the security on campus. Every on campus should positively participate to assist the security personnel if you suspect anything odd on campus. College has also installed cameras in class room and important locations as outdoor security monitoring.

5.02 Counseling Facility:

College has appointed visiting counselor for students and staff members. Students and mentors are requested to take the benefit of this facility. Counselor is available on campus twice a week.

5.03 Code of Conduct:

All employees have to follow the rules and regulations, and standards of courtesy, conduct, cooperation ethics and etiquettes as expected by the institute.

- Every employee is required at all times to maintain integrity, be devoted to his
 duty and also be honest and impartial in his/her official dealings. An employee
 shall, at all times be courteous and polite in his/her dealings with the
 Management, with other members of staff, students and with members of the
 public. He shall exhibit/utmost loyalty and shall always act in the interest of the
 college.
- An employee shall be required to observe the scheduled hours of working during which he/she must be present at the place of his/her work.
- No employee shall be a member of a political party or shall take part in politics or be associated with any party or organization which takes part in political activity nor shall subscribe or aid or assist in any manner to any political movement or activity



Staff Policy Document

- Employees leaving the campus for any official work in duty hours take permission
 of their respective HODs or their immediate superior and take the Gate Pass and
 make the note of the same in outward-movement register.
- If employees leaving his place of work within campus for any official work need
 to take in campus movement slip from office and took the signature of concern
 officer to whom he/she met for any official work and submit the same to office
 admin on the same day.
- All employees should avoid going canteen frequently except lunch time.
- No employee shall make any statement, publish or communicate through any
 media which amounts to an adverse criticism of any policy or action of the college
 or detrimental to the interests of the college.
- No employee can engage directly or indirectly in any trade or any private tuition or undertake employment
- No employee shall engage in strike or incitements there to or similar activities such as absence from work or neglect of duties or participate in hunger strike etc.
 Violation of this rule will amount to misconduct and attracts punishment.

Following are examples of actions, which are unacceptable to the institute and often result in disciplinary action or termination of employment:

- Insubordination
- Theft
- Bringing discredit to the Institute
- Falsifying, grafting, or forging of any record, report, or information
- Discourteous behavior
- Any other misconduct interfering with performance of job tasks
- Unauthorized absence from assigned work area
- Sleeping on duty
- Negligence
- Interfering with the work performance of another employee
- Favoritism
- Wasting materials



Staff Policy Document

- Willful damage to equipment or property of the Institute
- Entering an unauthorized work area
- Continued failure to perform assigned duties
- Failure to report absence
- Habitual absence or tardiness
- Job abandonment.

(A) Disciplinary Action

- All employees are liable for disciplinary action for disobedience, misconduct and dereliction/negligence of duty. However, such disciplinary action shall be taken after establishing the grounds on which the disciplinary action is initiated and after a fair opportunity has been provided to the employee to defend himself.
- As part of the disciplinary action, the following punishments for good and sufficient reasons may be imposed upon the employees of institution, after establishing the facts about dereliction/negligence of duties.
 - i) Withholding increments/promotion
 - ii) Recovery from his salary whole or part of any pecuniary loss caused to the college due to negligence of duty of breach of orders
 - iii) Suspension
 - iv) Removal from service
 - v) Dismissal from service

5.04 Biometric Attendance facility:

Every staff member of this college is required to register the finger print in biometric system and must record attendance through this system. Three late remarks will be treated as one full day leave. Registration is available with office staff.



5.05 Uniform for teaching faculty, non-teaching staff and student community:

The staff, students must wear uniform on every Monday to Friday except Wednesday and whenever announced for special days. If any particular student or staff member is found not wearing uniform, disciplinary action is taken against him / her. Initially verbal warning is given to the staff member. If repeated incidences occur written letter is issued to him/her.

5.06 I-Card Policy for teaching faculty, non-teaching staff and student community:

The staff, students must wear I-Card every day. If any particular student or staff member is found without I-card, disciplinary action is taken against him / her. Initially verbal warning is given to the staff member. If repeated incidences occur written letter is issued to him/her. I – Card registration for newly joined employees is available in office.

5.07 Supervision of university exams:

All teaching staff are allotted Supervision duties as and when their university and departmental Exam.

1. Senior Supervisor:

Teaching faculties who have five or more years of teaching experience are usually appointed. Every senior supervisor has to monitor at least five examination sessions.

2. Junior Supervisor:

All graduate employees are eligible to act as junior supervisors. She/he has to supervise minimum of three sessions and maximum seven sessions.

5.08 Examiner ship for University Exams:

 No extra compensatory leave is provided for doing examiner's duty. Examiner ship will be considered as on duty for record.



5.09 Private Coaching / Outside Employment Policy:

No staff should be involved in private coaching without prior permission. Also staff must not take up any other employment such as part time or full time at any other place. Disciplinary action will be taken such staff members.

5.10 Internet Facility policy:

Staff of DYPSOE must use the internet facility only for office and academic purpose. Staff must not be involved in sending unsolicited mails through internet facility. Staff must not download material from internet without proper acknowledgement of original source. Staff must not watch unsolicited videos or must not waste the internet resources.

5.11 Nonsmoking policy:

At DYPSOE, no tolerance is observed regarding smoking on campus. Smoking is viewed as serious issue and strict action is initiated against the staff members and student found indulged in smoking, eating gutkha or tabacoo.

5.12 Keys deposition Policy:

College main office keys are deposited in the security office. Department keys are deposited in the college office keyboard. Department classroom, labs, staff room keys are deposited in the department office keyboard. This hierarchy is required by everyone in the college. Also proper staff members are authorized to close and lock the rooms.

5.13 Vehicle parking policy:

a. For staff members:

All the staff members are required to park preferably at the designated parking lots for proper management.

b. For students:

Students are required to park their vehicle properly in the student allotted parking area so that it should not create parking problems. Everyone must follow the pollution norms and license norms on campus. You must not park the vehicle continually for days without prior permission; else action will be taken against it.



5.14 Disciplinary procedure:

Appropriate actions will be taken against staff and students who are not following the guidelines, rules and regulations of this college. Initially verbal warning is given to the staff member. If repeated incidences occur written letter/ Memo is issued to him/her.

5.15 Grievances / complaints Redressal:

Grievances Redressing Cell is formed in order to keep the healthy working atmosphere among the staff, students & parents. This Cell helps Staff, Students & Parents to record their complaints and solve their problems related to Woman harassment, Ragging, academics, resources and personal grievances. There are two sections in the cell. Ragging Complaints, where students can lodge the complaint related to ragging and will be handled as per Anti Ragging rules available on University Website. This section will also look after General Grievances, where everyone in the college domain can lodge the complaint and get their problem addressed regarding academics, infrastructure or among the students. Woman anti-harassment section, where female can lodge the complaint and get her problem solved. Pursuant to the directives of the Supreme Court of India, Woman Anti-Harassment Cell has been set up at DYPSOE, to uphold the dignity of women at work.

To lodge a complaint (Grievances or Ragging), the person concerned can personally approach and write/ e-mail any member of the Cell. They can send an email on <code>principal_dypsoe@dypic.in</code> or write an application in the format given and submit it to Cell convener.

5.16 Anti-Ragging Committee:

As per the AICTE guideline committee is formed. Following steps are carried out to curb the ragging on campus.

- Guiding office to take undertakings from parents and students.
- 2. Appointment of committee as per the AICTE guidelines.
- To raid the hostels, campus, corridors and prevent the anti-ragging on campus.
- 4. Conduct the meeting and keeping the records.



5.17 Woman Harassment at work place:

Sexual harassment of employees or students at the Institute is prohibited and the offender is dismissed or other disciplinary action is taken. Unwelcome sexual advancements, requests for sexual favors, and other verbal or physical conduct of a sexual nature constitute sexual harassment, viz. Issue solved by Women Redressal Cell.

Sexual harassment includes unwelcome sexually determined behavior (whether directly or by implication).

Such as:

- · Physical contact and advances. or
- Demand or request for sexual favors. or
- Sexually colored remarks. or
- Showing Pornography. or
- Any other unwelcome physical, verbal or nonverbal conduct of sexual nature.

For woman anti-harassment:

The person concerned can personally approach/ telephone/ write/ e-mail any member of the Cell. The name of the complainant will be kept confidential. Email can be sent on address <code>principal_dypsoe@dypic.in</code> or write an application in the format given and submit it to member secretary.

In normal (minor) cases Group B members will address the problem and solve it without involvement of Group A members. In severe cases, Group A, core members and Group B Members will address the grievances and solve it.

Grievance Action report will be prepared every year & sent to Principal. One complaint per page will be recorded containing the following details

Complaint No., Date of Grievance/ complaint, Complaint Description, Action taken/ Status.

Any written complaint received by the grieved student with respect to ragging is thoroughly discussed by the Committee and appropriate action is taken through Director.



Staff Policy Document

Facility:

- 1. Suggestion / complaint Boxes have been installed at different places in the College campus in which the Students/ staff, who want to remain anonymous, put in writing their grievances and their suggestions for improving the academics/administration in the College.
- 2. Students, Parents and staff of DYPSOE can send their complaints on principal_dypsoe@dypic.in and woman related complaints can be received on woman-grievance@dypic.in

Dr F B Sayyad

Principal

)



Prof. Hrridaysh Deshpande **Director-Technical Campus**

7. Anti-Ragging



Dr. D. Y. Patil Group of Institutions' Technical Campus Dr. D. Y. PATIL SCHOOL OF ENGINEERING

Dr. D. Y. Patil Knowledge City, Charholi Bk., Via. Lohegaon, Pune – 412 105.

Anti-Ragging Policy

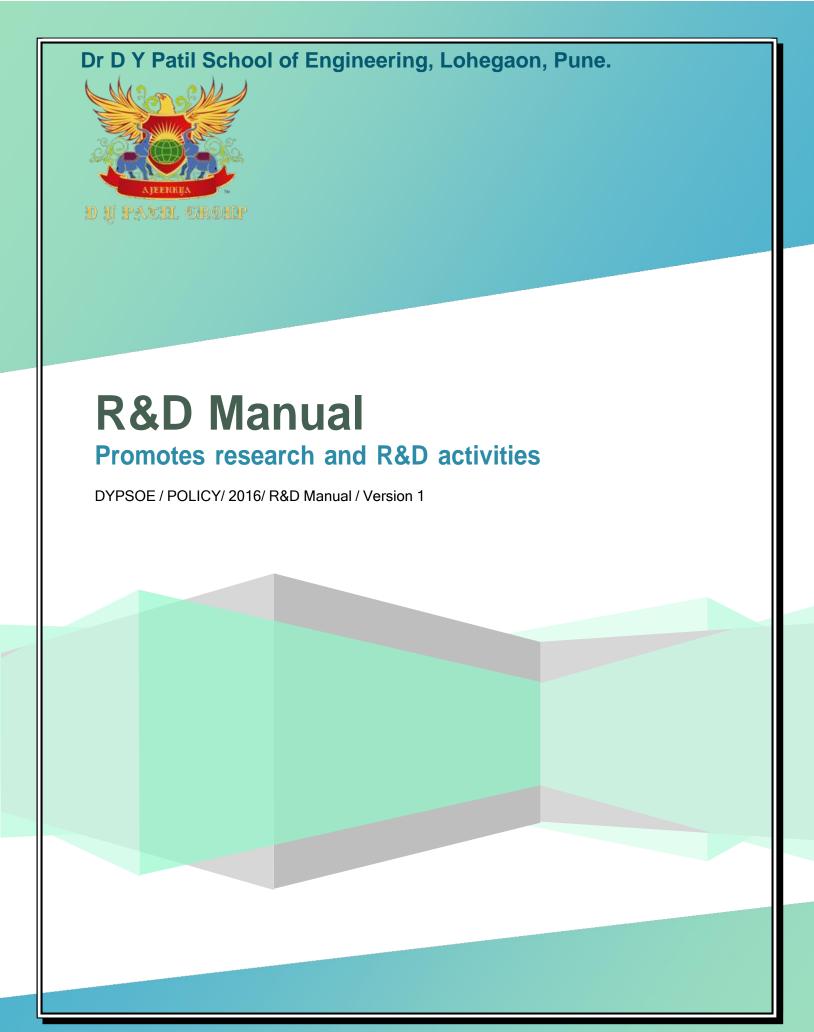
Government/University Grants Commission (UGC), guidelines notified vide no.F.1-16/2009 (CPP-II) dated 21-10-2009 on Curbing the Menace of Ragging in Higher Educational Institutions, 2009 (Under Section 26(1) (g) of the University Grants Commission Act, 1956) are strictly implemented at Dr D Y Patil School of Engineering, Lohegaon, Pune.

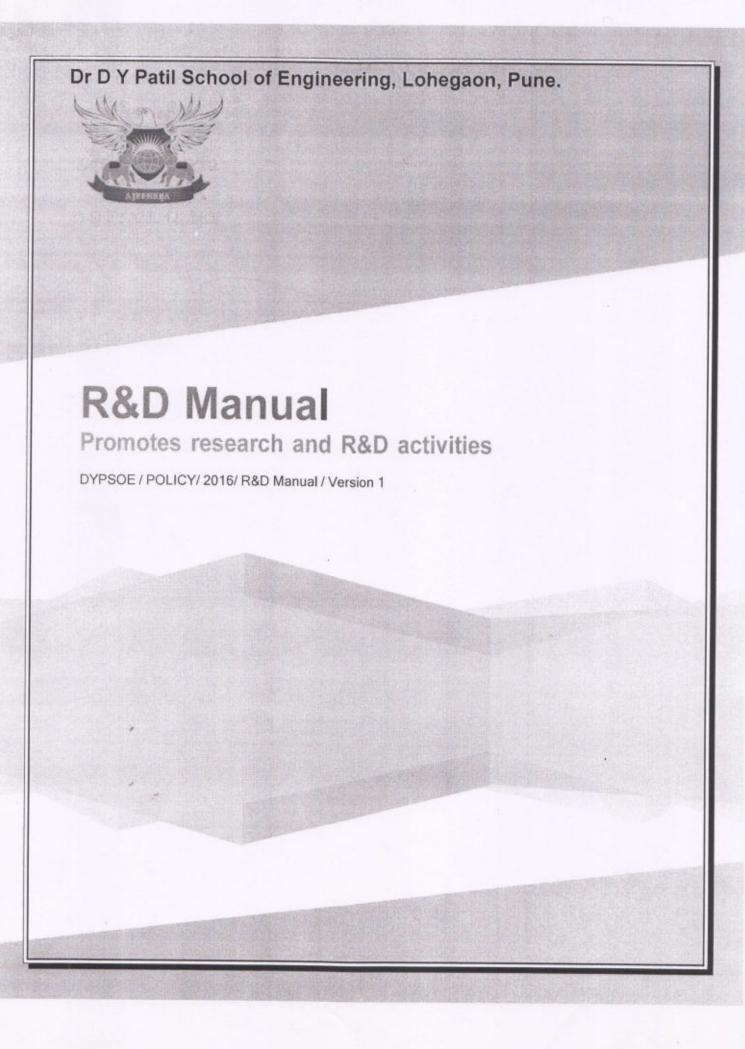
- (1) Anti-Ragging Squad shall be constituted to prevent ragging in the institution. The committee details are mentioned on website at https://dypsoe.in/img/ARC.PDF.
- (2) Anti-Ragging Squad shall make surprise raids at vulnerable places like hostel, washrooms, canteen, sports grounds etc.
- (3) Students and Parents shall submit Anti Ragging Affidavits to the institution at the time of admission.
- (4) Anti-ragging Awareness Programs shall be conducted in the institution.
- (5) Fresher and senior students shall be given information about Anti-Ragging Act during Orientation Program.
- (6) Students are encouraged to report any ragging act witnessed or experienced by them to anti-ragging squad or to any staff member with whom the student may feel comfortable. The institute will ensure confidentiality of such a disclosure by the student.
- (7) If any ragging case is reported, depending upon the nature and severity of the offence, the possible punishments as per AICTE / UGC Section 9 shall be given to those found guilty.

choo

Principal

8. R & D Manual





INDEX

SR. NO.	TITLE	PAGE NO.
	Vision, Mission, Quality Policy	.3
1.	Introduction	4
2.	R&D Cell	5
3.	Aims and objective	7
4.	R&D Activities	8
5.	Patent	8
6.	Publications	9
7.	Conferences and workshops	9
8.	Funded Projects	10
9.	Policy for procurement and maintenance	13
10.	Seed Money	14
11.	Department Review Committee (DRC)	14
12.	R&D Budget	15
13.	Important Links	16
14.	Policy Details	17

R&D Manual



Vision

Empowerment through quality technical education

Mission

M1: To excel as a center of excellence in technical education

M2: To impart skill based education to meet the needs of industry and Society

M3: To achieve excellence in teaching, learning and research

M4: To inculcate social ðical values among the students

Quality Policy

We strive to impart the quality technical education through academic excellence and provide best of facilities to satisfy the need & expectations of the students & stakeholders.

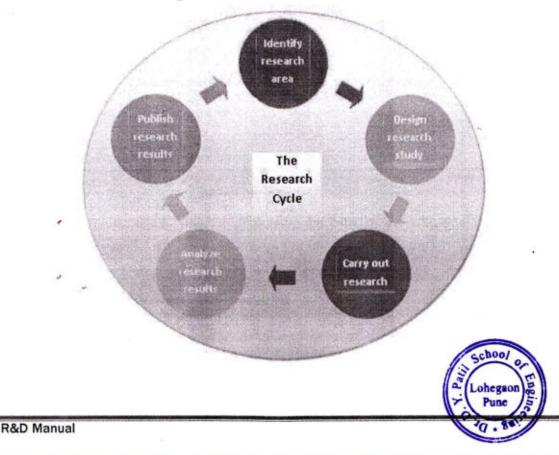
> Lohegaon Pune

1. Introduction:

Teaching and Research are the main functions assigned to any institute. Teaching is a prime function and needs to be performed at the highest level of competence; that is possible only when the faculty is involved in the research activity.

Research is an original contribution to the existing stock of knowledge making for its advancement. It is the pursuit of truth with the help of study, observation, comparison, experiment, collection of facts or data, analyzing the facts to reach certain conclusions either in the form of solution(s) towards the concerned problem or in certain generalizations for some theoretical formulation. In short, the search for knowledge through objective and systematic method of finding solution to a problem is research.

Research essentially nourishes the academic program and such engagement helps teachers to remain at the cutting edge, with advances in their own subject. It also sustains the interest in academic activities and widens the scope of learning. Importantly, it helps the institute to stand at the global level.



Page 4

2. R&D Cell

The R&D cell comprises of faculty members from various departments in the institute. This committee oversees the smooth and efficient coordination of research and development activities in the institute, thus fostering overall growth.

A senior faculty heads this cell in the capacity of Dean (R&D), ably supported by HsOD; with the Principal providing advisory support. The faculty members that constitute the R&D Cell are,

1	Dr. Farooq Sayyad	Principal	
2	Dr. S. M. Khairnar	Dean (R & D)	
3	Col. Sanjay Karodpati	Head (Civil Engg.)	
4	Dr. S. M. Koli	Head (E&TC Engg.)	
5	Mr. Rohit Garad	Head (Mechanical Engg.)	
6	Dr. Pankaj Agarkar	Head (Computer Engg.)	
7	Mr. Riyaj Kazi	IQAC Coordinator	
7	Dr. R. C. Katdare	Faculty (Civil Engg.)	
8	Dr. Sunil Rathod	Faculty (Computer Engg.)	
9	Dr. Sania Ansari	Faculty (E&TC Engg.)	
10	Dr Dileep More	Faculty (Mechanical Engg)	
11	Dr Rahulkumar Katkade	Faculty (Engg Sciences)	

The role of each of the members can be summarized as,

 To encourage and motivate faculty for externally funded research and development, interdisciplinary and multidisciplinary research, product design and development, publications in journals of high standing.

Cohoo/ or Pune B

- To facilitate discussions and collaborations with researchers from other institutes, with the possibility of joint work in various thrust areas of national and international importance.
- To initiate and promote MoU with industries and R&D organizations; for consultancy, collaborative research, sponsored projects, industry institute interactions etc.
- To arrange talks and interactions by eminent personalities from industry, R&D organizations and institutions of repute; for the better understanding of research methodology and practices currently followed.
- To support faculty for delivering talks at different events and conducting workshops, training programs, seminars, conferences, symposiums, faculty development programs.
- To visit R&D organizations and disseminate information regarding the effective implementation of research projects vis-a-vis the institute.
- To suggest peer reviewed national and international journals for subscription in central library as well as department library.
- To formulate the R&D budget of department in close co-operation with the faculty and the Head.
- To keep everyone abreast of all announcements by various funding agencies like DST, DAE, DRDO, ISRO, CSIR, AICTE, UGC, SPPU, and the like.
- To motivate students for presenting papers in National and International conferences, and projects in competitions and exhibitions. B.E. projects can be considered as a mini research project. Interdepartmental / collaborative work to be encouraged positively.
- To convert good innovative ideas of BE / ME projects in to Publications / Patents.



3. Aims and objective

The institute believes in a judicious combination of teaching and research for the benefit of student community at large. The institute envisages innovation and technological development through its R&D axis. It has plans to cultivate academic and research collaborations with national and international universities, governments and industries to meet the immediate needs of society and industry. The institute also remains committed to long-term research as the foundation for future development.

The institute's serene atmosphere, infrastructure that keeps abreast of global standards and excellent academic facilities coupled with intellectual freedom; richly add to the perfect ambience for conducting cutting-edge research that can make a positive change in the lives of the citizens.

Aims

- To inculcate the spirit and culture of research amongst all the stakeholders.
- To enhance interaction and cooperation between researchers for interdisciplinary and multidisciplinary work.
- To forge academic and research collaborations with national and international universities, governments and industries.
- To establish links with various R&D organizations and funding agencies for sponsored and contract research.
- To work towards development of DYP-SOE as a National R&D / Incubation Center.
 Objectives
 - To enhance the research awareness by organizing national and international conferences, symposia, workshops on research methodology, IPR and patents, talks and discussions with eminent researchers.
 - To motivate faculty for doctoral and post doctoral assignments at various national and international universities and organizations of repute.
 - To encourage faculty to undertake research projects in, thrust areas in science and engineering funded by various national and international agencies.



- To explore new horizons of knowledge and ensure its practical implementation through collective efforts and quality research work.
- To provide a creative atmosphere, complemented by adequate facilities and resources in which research thrives amongst the faculty and students.

4. R&D Activities

The major R&D activities are as follows:

- External Funded Projects
- Publications in peer-reviewed Journals
- Faculty Development Programs, Conferences, Workshops, STTPs, Symposia etc. (attend and organize)
- Procurement of high-end computational and laboratory equipment's, and software for Research
- · Deputation for Higher Studies
- Talks and Lectures by eminent researchers
- Industry Institute Interaction (Students and Faculty)
- · Collaboration and MoU with Industry and R&D Organization

Note: The concession so granted shall be reimbursed on submitting the certificate of participation. All the participants shall initially pay the full registration fee and claim for reimbursement only after fruitful completion of the program.

5. Patent

Receiving patent of one's research work is one of the most important and influential factor to judge the quality of research. The legal assistance shall be provided to those who are interested in registering the patent. Full financial assistance shall be given on granting the patent in the name of institute. The patent shall irrevocably be registered in the name of DYP-SOE with the researcher's name prominently featuring as the inventor. The

Lobegaon of Pulle of

commercial aspects shall be mutually worked out between the institute and the researcher.

6. Publications

- Research and in-depth review papers shall be submitted to peer-reviewed journals for possible publication.
- b. Publication charges (if any) of the research papers published by faculty, shall not be reimbursed by the institute.
- c. Paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points:
 - (v) papers with impact factor above 10 by 25 points. The API for joint publications/books shall be calculated in the following manner:
- d. Total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author /supervisor / mentor of the teacher would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

7. Conferences and workshops

The funds available to the candidate/applicant to participate at conferences, workshops, STTPs, etc. shall be as tabulated below,

Sl. No.	Туре	Financial Assistance
1.	International Conference (Abroad)	up to Rs. 25,000
2.	International Conference (India)	up to Rs. 10,000



 National Conference, Symposia, Workshop, STTP, etc

up to Rs. 3000

Note: The requisite amount within the limits mentioned shall be sanctioned by the Head of Institution, on recommendations of the respective Head of department and review by Dean (R&D). This amount may be claimed from the department R&D budget sanctioned for the said financial year for the conferences / workshops etc. organized by reputed institution like IIT's, NIT's, IIM's....etc.

8. Funded Projects

The depth of R&D culture, in any institution is judged by the number of grants approved and funds generated via various projects. A large number of new research projects are initiated every year with funding from various national and international governmental organizations and industries. The R&D cell keeps the faculty, abreast of all such announcements for R&D projects and encourages them to submit proposals for funding.

A select few national and international funding agencies are,

- · Aeronautical Development Agency (ADA)
- Aeronautical Research & Development Board (ARDB)
- · All India Council for Technical Education (AICTE)
- Council of Scientific & Industrial Research (CSIR)
- · Department of Atomic Energy (DAE)
- · Department of Biotechnology (DBT)
- Defence Research & Development Organization (DRDO)
- · Department of Science & Technology (DST)
- · Indian Space Research Organization (ISRO)
- · Institution of Engineers (India) (IE)
- Ministry of Agriculture and HRD



- · Ministry of Communications and Information Technology
- · Ministry of Non-Conventional Energy Sources
- University Grants Commission (UGC)
- University of Pune (BCUD-UoP)
- National Science Fund, U. S. (NSF)
- Industry IBM, TCS, Infosys, TATA Motors, Honeywell, etc.

In addition to research projects, Government grants are received under the following schemes:

- · Funds for Improvement of Science and Technology Infrastructure (FIST)
- · Fast Track Scheme for Young Scientists
- · Swarnajayanti Award Projects
- · Career Award for Young Teachers
- Better Opportunities for Young Scientists in Chosen Areas of Science and Technology (BOYSCAST)
- Modernization and Removal of Obsolescence (MODROBS)
- Thrust Area Program in Technical Education (TATE)
- Women Scientists Scheme
- · Young Scientists Research Award
- TEQIP

Important Guidelines

All the faculty members who intend to apply for R&D projects and grants, and all those who have obtained approval for their projects from various apex bodies, industries and universities shall follow the work procedure given herewith.

 Every research proposal shall pass through a multi-tier review, where the proposal shall first be reviewed by the PI/ Co-PI themselves, then by the concerned Head/ experts in the department, followed by other eminent researchers in the field. The proposal shall then be vetted by the departmental review committee (DRC) before

Lohegaon Pune

submission to the funding agency.

- It is advisable to have a faculty working in the same field, as Co-Investigator; for every proposal submitted for funding to external agencies. The faculty proposed as Co-Investigator, shall preferably be from the same department as the Principal Investigator.
- All applications related with R&D shall be routed through the Dean (R&D) along with one hard copy for R&D records. A soft copy shall also be emailed to the Dean (R&D); and the department representative in R&D cell as well as the HOD shall be copied on the email.
- Separate dead stock registers can be maintained for all R&D as well as externally funded projects in every department.
- Purchase of instruments, software, etc. and the audit report made for the same shall follow the Finance Officer guidelines.
- Principal Investigator and Co-Investigator shall ensure that the instruments, software, etc. purchased are secured in the laboratory/ department.
- The entire sanctioned amount shall be utilized as per the guidelines of the funding agency.
- In case the Principal Investigator leaves the institute; all the items, instruments, software, etc. purchased shall remain as an asset of the institute (except a few projects of DST).
- All HsOD must regularly and diligently update the R&D information on the institute website.



9. Policy for procurement and maintenance

- The purchase committee for any research project shall comprise of, The Principal, Registrar, Dean (R&D), concerned HoD and PI/ Co-PI. Their role is to streamline the purchase requests and gauge its utility; in completion of the project. The said committee shall also look into the recruitment of JRF/ SRF, if any.
- The accounts department shall submit a photocopy of, the sanctioned letter and cheque/ DD to the concerned Principal Investigator (PI) of the research project.
- The P.I. shall immediately plan and apply in writing to the Principal, through Dean (R&D) for releasing the funds (towards, travel, contingency, consumables, books, technical assistance, hiring charges, etc. i.e. excluding the equipment cost and salary, if any). The Accounts Department shall immediately release the amount on receiving the sanction from the Principal. The PI shall settle the accounts, for the released amount on or before 31st of January every year.
- The PI shall also plan for purchase of equipment's as per the existing purchase procedure of the institute. A minimum of two quotations are necessary for the equipment's to be purchased. The only exception to this shall be proprietary items, where the PI has to submit a justification. The entire purchase of equipment's for the research project shall be completed, positively by 28th February of every year. It is advisable; though not mandatory to procure high end equipment's from reputed manufacturers with a proven track record.
- All the sanctioned funds shall be spent as per the guidelines of the concerned funding agency.
- · All the equipment's purchased, shall first be registered in the Stores and then in the

DSR of the R&D in the department. This will help to have a uniform procedure and smooth functioning of purchase procedure under R&D. The total expenditure under R&D can then be easily identified.

- Incase PI leaves the institute, the Co-PI shall be fully responsible to complete the
 project as per the guidelines of the concerned apex bodies.
- If the PI is not in a position to continue the project, the PI shall utilize all the funds
 received as on date and submit the utilization certificate and progress report to the
 R&D Cell. He shall only then be relieved from his duties.
- The PI/ Co PI shall complete the project by all means within the stipulated period
 and submit the audited statement of expenditure, utilization certificate and
 progress report to the concerned funding agency. A copy of all such documents
 shall also be marked to Dean (R&D) for records.

10. Seed Money

Seed money for R&D project shall be distributed by the following procedure:

- Submission of proposal/s to funding agency
- · Application for seed money through the respective HOD
- · Scrutiny by R&D cell on sanction of the submitted project
- 25 % of the sanctioned project cost shall be given as seed money from the department R&D budget

Page 14

11. Department Review Committee (DRC)

This committee (DRC) is formulated to specifically oversee the research proposals by faculty and UG and Post Graduate dissertations. The DRC shall comprise of,

R&D Manual

- Head of Department (concerned Dept.)
- · Research Coordinator (concerned Dept.)
- · P. G. Coordinator (only in case of M. E. Projects)
- Project Guide & Co-Guide
- Subject Expert/s (May be from same institute / other)

All the prospective Principal Investigators should ensure that the research proposal is presented before the DRC well in advance prior to the submission. The HoD should ensure that the project work of PG students is presented before the DRC at least 30 days prior to the submission.

It may kindly be noted that, the research proposal of faculty shall be submitted to the funding agency only after presentation to DRC. Similarly, the project work of every PG student shall be submitted to the university, only after the approval by DRC.

In addition to this, the DRC shall also look into,

- Collaboration with research organizations
- MoU with industries and overseas universities
- · Training and Consultancy
- Continuing Education (including Add on Modules)
- Industry Sponsored Projects

12. R&D Budget

The HsOD shall formulate the yearly R&D budget, in close co-operation with the senior faculty members in the department. The same shall be forwarded to, The Principal for further approval of higher authority.



The HsOD shall ensure the inclusion of, appropriate amount of seed money required, based on the number of proposals submitted to external funding agencies. This budget may also include certain high-end equipments required exclusively for research, in the thrust areas identified by the department.

All HsOD shall submit a report on the amount spent on R&D for the prevailing financial year by 10th of April.

The R&D expenses shall invariably include:

- External Funded Projects
- Faculty Development Programs, Conferences, Workshops, STTPs, Symposia etc.
 (organized and attended by faculty)
- · Specific Procurement for Research
- · Honorarium paid for talks and lectures by eminent researchers
- Industry Institute Interaction (Students and Faculty)
- · Collaboration and MoU with Industry and R&D Organization
- Seed Money.
- Financial Assistance to faculty
- · Financial Assistance to student

13. Important Links

- http://www.dst.gov.in (DST)
- http://www.dae.gov.in (DAE)
- http://www.isro.org (ISRO)



- http://www.csir.res.in (CSIR)
- http://www.aicte-india.org (AICTE)
- http://www.ugc.ac.in (UGC)
- http://www.dbtindia.nic.in (DBT)
- http://www.barc.ernet.in (BARC)
- http://www.indianpatents.org.in (INDIAN PATENTS)
- http://www.jpo.go.jp (JAPAN PATENT OFFICE)
- http://www.uspto.gov (U. S. PATENTS & TRADEMARKS)

14. Policy Details:

Policy drafted by	R&D Cell	
Policy Applies to	The students and staff of the College	
Effective from the date	1 June 2016	
Approved by	Management and IQAC	
Responsible Authority	R&D Cell	
Superseding Authority	Principal	
Last Reviewed	8 June 2020	
Policy No.	DYPSOE / POLICY/ 2016/ R&D Manual / Version 1	

Prepared by R&D Cell

Approved by Principal Approved by Management

